



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Westerhold, John F.) Group Art Unit: 3727
Serial No.: 10/706,248) Examiner: YOUNG, Lee W.
Filed: November 11, 2003)
Title: Wine Bottle Convertible to Wine Glass)

)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with Applicant(s)'s duty under 37 CFR § 1.56, Applicant(s) hereby submit(s) the attached form(s) to bring information to the attention of the Examiner:

PTO-1449
 PTO/SB/08A (2 pgs.)
 PTO/SB/08B

The information identified in this Information Disclosure Statement (IDS), including the attached form(s), may or may not be "material" pursuant to 37 CFR § 1.56, and the submission thereof by Applicant(s) shall not be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 U.S.C. §§102-103 with respect to this invention unless specifically designated by Applicant(s) as such.

The filing of this IDS shall not be construed to mean that a search has been made or that no other material information, as defined in 37 CFR § 1.56, exists.

PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:

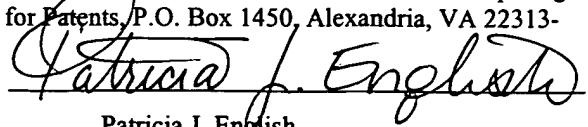
A check in the amount of _____ is enclosed.
 The Commissioner is authorized to charge all fees due for the filing of this IDS, and to credit any overpayment, to Deposit Account No. 50-1105 (Cohen Sakaguchi & English LLP).
Applicant(s) believe(s) the total fee due is \$0.

CERTIFICATE OF MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this date listed below.

February 11, 2005

Date of Deposit


Patricia J. English

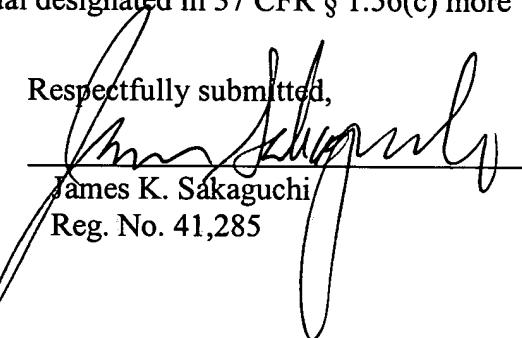
STATEMENT UNDER 37 CFR § 1.97(e)

Applicant(s) make the following statement(s), if checked below, which the U.S. Patent Office may or may not determine to be required for the filing of this IDS in accordance with 37 CFR §§ 1.97 and 1.98.

- Each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.
- No item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.

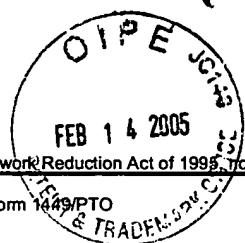
Dated: February 11, 2005

Telephone: (760) 803-5967
Facsimile: (949) 625-8955

By: 

Respectfully submitted,

James K. Sakaguchi
Reg. No. 41,285



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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	10/706,248
Filing Date	11/11/2003
First Named Inventor	WESTERHOLD, John F.
Art Unit	3727
Examiner Name	YOUNG, Lee W.
Attorney Docket Number	WEST-102

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 640,860	01-09-1900	Baum, Jr.	
		US- 2,057,972	10-20-1936	Pieck	
		US- 2,086,404	07-06-1937	Daniels	
		US- 2,996,208	08-15-1961	Schroeder	
		US- D199,461	10-27-1964	Daily	
		US- 3,197,057	07-27-1965	Weitzner	
		US- 3,391,849	07-09-1968	Horning	
		US- D214,933	08-12-1969	Swett, et al.	
		US- 3,482,731	12-09-1969	Douty	
		US- 3,526,335	09-01-1970	Swett, et al.	
		US- 3,724,709	04-03-1973	Westphal	
		US- D251,950	05-29-1979	McGowan	
		US- 4,289,248	09-15-1981	Lynn	
		US- 4,591,066	05-27-1986	Moen	
		US- 5,285,919	02-15-1994	Recchia	
		US- 5,817,162	10-06-1998	Penrith	
		US- D410,364	06-01-1999	Ramirez	
		US- D419,868	02-01-2000	DeVore	
		US- 6,164,473	12-26-2000	Waldrip	

FOREIGN PATENT DOCUMENTS

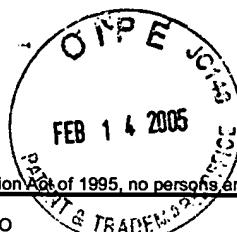
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner Signature	Date Considered
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

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Art Unit	3727
Examiner Name	YOUNG, Lee W.
Attorney Docket Number	WEST-102

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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